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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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10/26/01  
J.C. 678  
U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	7954-157	Total Pages	108
First Named Inventor or Application Identifier			
Motoi Ueda			PRO 10/26/01
Express Mail Label No.			EL 477 032 093 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form [Total Pages 2+2=4]  
*Submit an original, and a duplicate for fee processing*
- Applicant claims Small Entity status, see 37 C.F.R. § 1.27
- Specification [Total Pages 69]  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description of the Invention (including drawings, *if filed*)
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 USC 113) [Total Sheets 29]
- Oath or Declaration [Total Sheets 6]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 19 completed)*
    - i.  DELETION OF INVENTORS(S)  
  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: 09/368,892 filed August 5, 1999.

## 19. CORRESPONDENCE ADDRESS

Customer Number:



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COUNTRY		TELEPHONE		FAX

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

4.  New formal drawings are enclosed.
- 5a.  Priority of application no. 013470/1994 and 070623/1994 filed on February 7, 1994 and April 8, 1994 in Japan is claimed under 35 U.S.C. §119.
- 5b.  Certified copies of the priority applications have been filed in prior application no. 09/368,892 filed August 5, 1999.
6.  The prior application is assigned of record to NIKON Corporation. The Assignment was recorded on November 1, 1999 at Reel 10374, Frame 0186.
7.  A copy of the Power of Attorney filed in the prior application no. 09/368,892 filed August 5, 1999 is enclosed.
8.  This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no., filed on be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. is enclosed.
9.  The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no. .
10.  DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
11.  Additional enclosures or instructions.

Respectfully submitted,



40,414

Date: October 26, 2001

for: Hua Gao (Reg. No.)  
Charles E. Miller (Reg. No. 24,576)

**PENNIE & EDMONDS LLP**  
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New York, N.Y. 10036-2711  
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Enclosures

Express Mail No.: EL 477 032 093 US**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Prior application:      Examiner K. GROUP  
                                 Art Unit 1755

Hon. Commissioner for Patents  
 Box PATENT APPLICATION  
 Washington, D.C. 20231

Sir:

This is a request for filing a  continuation  divisional application under 37 C.F.R. § 1.53(b), of pending prior application no. 09/368,892 filed on August 5, 1999.

of Motoi UEDA and Takeshi HASEGAWA  
(inventor(s) currently of record in prior application)  
 for OPTICAL GLASS FOR POLARIZING OPTICAL SYSTEM, PRODUCTION PROCESS THEREFOR AND  
POLARIZING BEAM SPLITTER  
(title of invention)

1.  The filing fee is calculated below:

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	Fee
Total Claims	3	-20	0	\$18.00 each	\$ 0.00
Independent	3	-3	0	\$84.00 each	\$ 0.00
Basic Fee					\$ 740.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 0.00
<b>Total</b>					\$ 740.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					- \$ 0.00
<b>Total Filing Fee</b>					\$ 0.00

2.  Applicant will submit the application fee upon receipt of the Notice to File Missing Parts of Non-provisional Application.
3.  Amend the specification by replacing the first paragraph on the first page with the following: This is a division of application no. 09/368,892 filed August 5, 1999, now pending.